

Participant Name and Contact Information

Umansky Family Trust
Participant Name

Dan Umansky
Contact Person (if Participant is not an individual)

Dumansky@aol.com
Email Address

8226 Limerick
Address line 1

Wichita, KS, 67206
Address line 2
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

 intends to **support** the relief requested in the Objections (i.e., Participant believes the Court should find that the 2011 GO Bonds are **invalid**); or

 X intends to **oppose** the relief requested in the Objections (i.e., Participant believes that the Court should find that the 2011 GO Bonds are **valid**)

3. If Participant is not a holder of a 2011 GO Bonds, it can skip to the end of this Notice and sign. If Participant is a holder of one or more 2011 GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant:

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By: Dan Umansky

Signature

DAN UMANSKY
Print Name

Title (if Participant is not an Individual)

6-12-19
Date



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COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400
Wichita, KS 67206-4213

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The Clerk of the United States District
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Room 150 Federal Building
150 Carlos Chardon Ave.

San Juan, PR 00914-1767

Participant Name and Contact Information

John M Gunter
Participant Name

Contact Person (if Participant is not an individual)

jmg1821@outlook.com
Email Address

1300 Rosehaven Dr
Address line 1

Address line 2

Oklahoma City, OK 73162
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant:

74514LYW1

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: John M. Gunter
Signature

JOHN M. GUNTER
Print Name

Title (if Participant is not an Individual)

6/12/19
Date



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Room 150 Federal Building
150 Carlos Chardon Ave.

San Juan, PR 00914-1767

Participant Name and Contact Information

Jean Ann Pepin
Participant Name

Contact Person (if Participant is not an individual)

jeanann.pepin@gmail.com
Email Address

6241 Skyland Lane
Address line 1

Address line 2

Lincoln, NE 68516
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant:

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Jean Ann Pepin
Signature

Jean Ann Pepin
Print Name

Title (if Participant is not an Individual)

6/12/19
Date



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San Juan, PR 00918-1767

THIS NOTICE MUST BE FILED AND SERVED ON OR BEFORE [DATE THAT IS SIXTY DAYS FROM ENTRY OF ORDER]

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

**NOTICE OF PARTICIPATION IN OBJECTIONS OF OFFICIAL COMMITTEE OF
UNSECURED CREDITORS, PURSUANT TO BANKRUPTCY CODE SECTION 502 AND
BANKRUPTCY RULE 3007, TO CLAIMS ASSERTED BY HOLDERS OF CERTAIN 2011
COMMONWEALTH GENERAL OBLIGATION BONDS**

This Notice of Participation must be served and filed no later than [sixty days from entry of order] in accordance with the instructions set forth at the end of this document.

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors (the "Committee") that it intends to participate in the litigation of the Committee's objection, dated May 21, 2019 [Dkt. No. 7057] (the "2011 GO Objection"), to claims filed or asserted by holders of certain 2011 general obligation bonds (the "2011 GO Bonds"), which objects to all claims that have been or may be asserted against the Commonwealth of Puerto Rico (the "Commonwealth") because such 2011 GO Bonds are invalid. Even if you filed a Notice of Participation in connection with the objection filed with respect to Commonwealth general obligations issued in 2012 or 2014, you still must file a Notice of Participation with respect to the 2011 GO Objection.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

Participant Name and Contact Information

Dale Snelling
Participant Name

Contact Person (if Participant is not an individual)

Email Address

463 Cedar Creek Rd.
Address line 1

Cedar Point, KS 66243
Address line 2
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By: Dale Snelling
Signature

Dale Snelling
Print Name

Title (if Participant is not an Individual)

6-6-19
Date



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150 Carlos Chardon Ave.

San Juan, PR 00918-1767

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FOR THE DISTRICT OF PUERTO RICO**

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MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

**NOTICE OF PARTICIPATION IN OBJECTIONS OF OFFICIAL COMMITTEE OF
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Participant Name and Contact Information

Dorothea Hardrick
Participant Name

Contact Person (if Participant is not an individual)

Email Address

P.O. Box 14
Address line 1

Address line 2

Grandale, CO 81222
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant:

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By: Dorothea Hardrick
Signature

Dorothea J. Hardrick
Print Name

Title (if Participant is not an Individual)

6-10-19
Date



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150 Carlos Chardon Ave.

San Juan, PR 00918-1767

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FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
as representative of : Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

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Participant Name and Contact Information

James & Betty Taylor
Participant Name

Contact Person (if Participant is not an individual)

Taylor in hutch@yahoo.com
Email Address

55 East Wood Drive
Address line 1

Address line 2

Hutchinson, KS 67508
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By: James E. Taylor
Signature

James E. Taylor
Print Name

Betty L. Taylor

Betty L. Taylor

Title (if Participant is not an Individual)

June 10, 2019
Date



**Riedl First
Securities**
COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400
Wichita, KS 67206-4213

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The Clerk of the United States District
Court for the District of Puerto Rico
Room 150 Federal Building
150 Carlos Chardon Ave.

San Juan, PR 00914-1767

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FOR THE DISTRICT OF PUERTO RICO**

----- X
In re: :
THE FINANCIAL OVERSIGHT AND : PROMESA
MANAGEMENT BOARD FOR PUERTO RICO, : Title III
: Case No. 17-BK-3283 (LTS)
as representative of :
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)
Debtors.¹ :
----- X

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Participant Name and Contact Information

Alvin L League TR
Participant Name

Alvin L League TR
Contact Person (if Participant is not an individual)

eleague@juno.com
Email Address

1901A E Old Hwy #40
Address line 1

New Cambria, MS 39067
Address line 2
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant: 74514LYW1

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? (YES) or NO (please **circle one**).

By: Alvin L League, TR
Signature

Alvin L League
Print Name

Trustee
Title (if Participant is not an Individual)

6/10/19
Date



**Riedl First
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COMPANY OF KANSAS

1841 N. Rock Rd. Ct. Ste 400
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The Clerk of the United States District
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Room 150 Federal Building
150 Carlos Chardon Ave.

San Juan, PR 00913-1767

Participant Name and Contact Information

Mary Beth & James M Cole
Participant Name

Contact Person (if Participant is not an individual)

jameswcoale@gmail.com
Email Address

522 Skyline Dr
Address line 1

Address line 2

Woodland Park, CO 80863
City, State Zip Code

USA
Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

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(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant: 74514 L9W1

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Mary Beth Cole
Signature

Mary Beth Cole
Print Name

Title (if Participant is not an Individual)

Date

6/10/19



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FOR THE DISTRICT OF PUERTO RICO**

In re:

THE FINANCIAL OVERSIGHT AND
MANAGEMENT BOARD FOR PUERTO RICO,

as representative of

THE COMMONWEALTH OF PUERTO RICO *et al.*,

Debtors.¹

: PROMESA
: Title III

: Case No. 17-BK-3283 (LTS)

: (Jointly Administered)

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors (the "Committee") that it intends to participate in the litigation of the Committee's objection, dated May 21, 2019 [Dkt. No. 7057] (the "2011 GO Objection"), to claims filed or asserted by holders of certain 2011 general obligation bonds (the "2011 GO Bonds"), which objects to all claims that have been or may be asserted against the Commonwealth of Puerto Rico (the "Commonwealth") because such 2011 GO Bonds are invalid. Even if you filed a Notice of Participation in connection with the objection filed with respect to Commonwealth general obligations issued in 2012 or 2014, you still must file a Notice of Participation with respect to the 2011 GO Objection.

To ensure full participation rights in the litigation of the Objection, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

¹ The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

Participant Name and Contact Information

Participant Name

Contact Person (if Participant is not an individual)

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

Counsel Contact Information (if any)

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Objections (i.e., Participant believes the Court should find that the 2011 GO Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Objections (i.e., Participant believes that the Court should find that the 2011 GO Bonds are **valid**)

3. If Participant is not a holder of a 2011 GO Bonds, it can skip to the end of this Notice and sign. If Participant is a holder of one or more 2011 GO Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

(a) Provide the CUSIP Numbers of all 2011 GO Bonds held by Participant:

(b) Did Participant purchase any of its 2011 GO Bonds in whole or in part on the secondary market? **YES** or **NO** (please **circle one**).

By:

Signature

Print Name

Title (if Participant is not an Individual)

Date

Morgan Stanley

This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page

Exchange Code: 8
Execution Code:7
Your Account Number: **Redacted** -374

Cash Account - Active Assets

VINCENT J INCOPERO TOD
VINCENT A INCOPERO
SUBJECT TO STA RULES
P.O. BOX 146
ELMHURST, IL 60126-0146

Your Financial Advisor
DONALD METTER
111 S PFINGSTEN RD, STE 200
DEERFIELD, IL 60015
(847) 480-3600

You Sold
Trade Date 09/16/16 for Settlement on 09/21/16

Quantity	430,000	Price	109.125	Settlement	Amount
Description: PUERTO RICO COMWLTH PUB IMPT REF MATURES 07/01/2020 COUPON 5.50% FIXED COUPON PAYABLE SEMI-ANNUALLY ON JANUARY AND JULY 1st ISSUE DATE 10/25/2001 FIRST COUPON DATE 01/01/2002 YIELD TO MATURITY 2.929% GENERAL OBLIGATION INSURED BY NATL PUBLIC FINANCE GUARANTEE (FORM MBIA) THE CREDIT RATINGS PRINTED BELOW REFLECT THE RATINGS AT THE CLOSE-OF-BUSINESS ON 09/16/16 AND ARE SUBJECT TO CHANGE. S&P RATING: AA- MOODY'S RATING: A3 FITCH RATING: D BOOK ENTRY ONLY				Principal	\$469,237.50
				Processing Fee	6.50
				Interest	5,255.56
				Net Amount	\$474,486.56
				Security No.	Redacted
Morgan Stanley Smith Barney LLC. Member SIPC. The transaction may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services.					

CONDITIONS AND DISCLOSURES

<p>CODES, ABBREVIATIONS AND EXPLANATIONS</p> <p>EXCHANGE WHERE EXECUTED</p> <p>1 New York Stock Exchange 2 Pacific Stock Exchange 3 Philadelphia Stock Exchange 4 Chicago Stock Exchange 5 American Stock Exchange 6 Other Markets 7 Over the Counter 8 Morgan Stanley Smith Barney LLC as principal which may result in a profit to Morgan Stanley Smith Barney LLC.</p> <p>EXECUTION CODE</p> <p>1, 2, 3, 4, 5, 9, F, L, P, T, V OR W: As agent we have bought or sold for your account 6: As agent for another we have sold to you or bought from you 7, C, E, G, N, OR S: As principal we sold to you or bought from you for our own account 8 OR U: Prospectus/Official Statement A, B, C, X, Y, OR Z: Primary and Secondary Unit Trust or listed and OTC when issued Securities K: Precious Metals M, R: Mutual Funds</p> <p>OTHER ABBREVIATIONS</p> <p>ELTR Estimated Long Term Return CR Current Return PV Par Value</p> <p>FINAL PROSPECTUS/OFFERING DOCUMENTATION AVAILABLE</p> <p>Indicates that these securities/instruments are being sold (i) pursuant to an SEC registration statement or where a prospectus is otherwise required, (ii) in the case of certain exempted securities or certificates of deposit (CDs), either where offering documentation is required or there is an agreement or policy to deliver offering documentation. For assistance obtaining a copy of the final prospectus/offering documentation relating to these securities, you may contact us at 800-584-6837.</p>	<p>CONDITIONS</p> <p>IT IS AGREED THAT</p> <p>All transactions are subject to the rules, regulations, requirements and customs of the exchange or market (and its clearing agency, if any) where executed, the regulations of the Federal Reserve Board and the Securities and Exchange Commission.</p> <p>Payment for securities purchased must be received by us no later than the Settlement Date indicated on the reverse side hereof. Payments not received by Settlement Date may be subject to late payment fees.</p> <p>Securities held in margin accounts or purchased but not yet paid for in cash accounts may be hypothecated by Morgan Stanley Smith Barney LLC under circumstances which will permit the commingling thereof with securities of other clients.</p> <p>Securities sold "long" must be on deposit in your account(s) or delivered to us by Settlement Date.</p> <p>Morgan Stanley Smith Barney LLC will furnish, upon written request, the date and time when the transaction took place, the name of the other party to the transaction and the source and amount of any other remuneration received or to be received by Morgan Stanley Smith Barney LLC in connection with the transaction.</p> <p>Morgan Stanley Smith Barney LLC and/or its affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits will be furnished upon written request.</p> <p>Debt securities may be redeemed in whole or in part before maturity, and such a redemption could affect any yield represented in this trade confirmation. Additional information is available upon request.</p> <p>Credit rating(s), if any, contained on this trade confirmation were provided by an unaffiliated third party. In some instances, the credit rating shown is based on the issuer's credit ranking and not the credit rating of the specific security purchased or sold. For an explanation of credit ratings for bonds, please see www.morganstanley.com/wealth/investmentsolutions/creditratings.asp, or request a copy from your Financial Advisor.</p> <p>Insurance trades are subject to carrier underwriting approval.</p> <p>Any inquiries regarding this transaction should be made by using the telephone number provided on the reverse side.</p> <p>This transaction is conclusive and binding if not objected to in writing within five days of receiving this trade confirmation.</p> <p>All Good Till Cancelled (GTC) orders have an expiration date, which is displayed on the front of this notice. Until expiration, all open orders are considered good until cancelled by you or executed by us. When entering a substitute order or changing an existing order, the responsibility for canceling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered in the customer's account.</p> <p>Municipal Advisor Rule; Disclosures for Municipal Entities and Obligated Persons: Morgan Stanley Smith Barney LLC is not acting as a municipal advisor to any municipal entity or obligated person within the meaning of Section 15B of the Securities Exchange Act (Municipal Advisor Rule). If you have a Brokerage Account, please note that: 1) we do not owe you a fiduciary duty pursuant to the Municipal Advisor Rule when we make statements or provide you with information regarding your Brokerage Account; 2) we may be acting for our own interests; and 3) before acting on any statements made or information provided by us, you should consult any and all advisors as you deem appropriate.</p> <p>This agreement shall inure to the benefit of any successor or assigns of Morgan Stanley Smith Barney LLC.</p> <p>Morgan Stanley</p>
<p>CHARGES AND FEES</p> <p>CHARGE Represents the markup/down from the wholesaler's or dealer's price.</p> <p>FSCF Represents a pass through of Foreign Securities clearance fees incurred by Morgan Stanley Smith Barney LLC for this transaction.</p> <p>SUPPLEMENTAL TRANSACTION FEE Represents fee to offset additional expenses associated with processing certain transactions.</p> <p>PROCESSING FEE Represents processing charges for certain executed orders.</p> <p>CDSC Represents Contingent Deferred Sales Charge.</p> <p>DSC Represents Deferred Sales Charge.</p>	
<p>BACKUP WITHHOLDING</p> <p>Under Federal Income Tax Law, the customer is required to provide Morgan Stanley Smith Barney LLC with a certification of the customer's Social Security or Taxpayer Identification Number. In the absence of such certification, Morgan Stanley Smith Barney LLC is required to withhold taxes from the proceeds of sales at the current withholding rate.</p>	
<p>GROSS PROCEEDS</p> <p>If the transaction being confirmed is a sale or a redemption, this information will be furnished to the Internal Revenue Service.</p>	
<p>SECURITY MEASURES</p> <p>Your Morgan Stanley Smith Barney LLC trade confirmation features an embedded security element to safeguard its authenticity. It is a unique security mark -- a blue rectangle in heat-sensitive blue ink. When exposed to warmth, the blue rectangle will disappear, and then reappear.</p>	

Morgan Stanley

This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page

Exchange Code: 8
Execution Code: 7
Your Account Number: **Redacted** -374

Cash Account - Active Assets

VINCENT J INCOPERO TOD
VINCENT A INCOPERO
SUBJECT TO STA RULES
P.O. BOX 146
ELMHURST, IL 60126-0146

Your Financial Advisor
DONALD METTER
111 S PFINGSTEN RD, STE 200
DEERFIELD, IL 60015
(847) 480-3600

You Bought

Trade Date 05/22/14 for Settlement on 05/28/14

Quantity	430,000	Price	109.71	Settlement Amount
<p><i>Description:</i> PUERTO RICO COMWLTH PUB IMPT REF MATURES 07/01/2020 COUPON 5.50% FIXED COUPON PAYABLE SEMI-ANNUALLY ON JANUARY AND JULY 1st ISSUE DATE 10/25/2001 FIRST COUPON DATE 01/01/2002</p> <p>YIELD TO MATURITY 3.704% GENERAL OBLIGATION INSURED BY NATL PUBLIC FINANCE GUARANTEE (FORM MBIA) THE CREDIT RATINGS PRINTED BELOW REFLECT THE RATINGS AT THE CLOSE-OF-BUSINESS ON 05/22/14 AND ARE SUBJECT TO CHANGE. S&P RATING: AA- MOODY'S RATING: A3 FITCH RATING: BB BOOK ENTRY ONLY FOR ADDITIONAL INFORMATION RELATED TO THIS SECURITY, INCLUDING MUNICIPAL MATERIAL EVENT AND FINANCIAL DISCLOSURES AND MARKET DATA, PLEASE GO TO www.emma.msrb.org</p>				<p>Principal \$471,753.00 Processing Fee 6.50 Interest 9,657.08 Net Amount \$481,416.58</p>
<p>Morgan Stanley Smith Barney LLC. Member SIPC. The transaction may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services.</p>				<p>Security No. Redacted</p>

CONDITIONS AND DISCLOSURES

<p style="text-align: center;">CODES, ABBREVIATIONS AND EXPLANATIONS</p> <p>EXCHANGE WHERE EXECUTED</p> <p>1 New York Stock Exchange 2 Pacific Stock Exchange 3 Philadelphia Stock Exchange 4 Chicago Stock Exchange 5 American Stock Exchange 6 Other Markets 7 Over the Counter 8 Morgan Stanley Smith Barney LLC as principal which may result in a profit to Morgan Stanley Smith Barney LLC.</p> <p>EXECUTION CODE</p> <p>1, 2, 3, 4, 5, 9, F, L, P, T, V OR W: As agent we have bought or sold for your account 6: As agent for another we have sold to you or bought from you 7, C, E, G, N, OR S: As principal we sold to you or bought from you for our own account 8 OR U: Prospectus/Official Statement A, B, C, X, Y, OR Z: Primary and Secondary Unit Trust or listed and OTC when issued Securities K: Precious Metals M, R: Mutual Funds</p> <p>OTHER ABBREVIATIONS</p> <p>ELTR Estimated Long Term Return CR Current Return PV Par Value</p> <p>FINAL PROSPECTUS/OFFERING DOCUMENTATION AVAILABLE</p> <p>Indicates that these securities/instruments are being sold (i) pursuant to an SEC registration statement or where a prospectus is otherwise required, (ii) in the case of certain exempted securities or certificates of deposit (CDs), either where offering documentation is required or there is an agreement or policy to deliver offering documentation. For assistance obtaining a copy of the final prospectus/offering documentation relating to these securities, you may contact us at 800-584-6837.</p>	<p style="text-align: center;">CONDITIONS</p> <p>IT IS AGREED THAT</p> <p>All transactions are subject to the rules, regulations, requirements and customs of the exchange or market (and its clearing agency, if any) where executed, the regulations of the Federal Reserve Board and the Securities and Exchange Commission.</p> <p>Payment for securities purchased must be received by us no later than the Settlement Date indicated on the reverse side hereof. Payments not received by Settlement Date may be subject to late payment fees.</p> <p>Securities held in margin accounts or purchased but not yet paid for in cash accounts may be hypothecated by Morgan Stanley Smith Barney LLC under circumstances which will permit the commingling thereof with securities of other clients.</p> <p>Securities sold "long" must be on deposit in your account(s) or delivered to us by Settlement Date.</p> <p>Morgan Stanley Smith Barney LLC will furnish, upon written request, the date and time when the transaction took place, the name of the other party to the transaction and the source and amount of any other remuneration received or to be received by Morgan Stanley Smith Barney LLC in connection with the transaction.</p> <p>Morgan Stanley Smith Barney LLC and/or its affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits will be furnished upon written request.</p> <p>Debt securities may be redeemed in whole or in part before maturity, and such a redemption could affect any yield represented in this trade confirmation. Additional information is available upon request.</p> <p>Credit rating(s), if any, contained on this trade confirmation were provided by an unaffiliated third party. In some instances, the credit rating shown is based on the issuer's credit ranking and not the credit rating of the specific security purchased or sold. For an explanation of credit ratings for bonds, please see www.morganstanley.com/wealth/investmentsolutions/creditratings.asp, or request a copy from your Financial Advisor.</p> <p>Insurance trades are subject to carrier underwriting approval.</p> <p>Any inquiries regarding this transaction should be made by using the telephone number provided on the reverse side.</p> <p>This transaction is conclusive and binding if not objected to in writing within five days of receiving this trade confirmation.</p> <p>All Good Till Cancelled (GTC) orders have an expiration date, which is displayed on the front of this notice. Until expiration, all open orders are considered good until cancelled by you or executed by us. When entering a substitute order or changing an existing order, the responsibility for canceling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered in the customer's account.</p> <p>NOTICE TO MUNICIPAL ENTITIES: unless we have agreed otherwise in writing, we are not acting as a municipal advisor under the Dodd-Frank Act.</p> <p>This agreement shall inure to the benefit of any successor or assigns of Morgan Stanley Smith Barney LLC.</p> <p style="text-align: right; font-size: 1.2em;">Morgan Stanley</p>
<p style="text-align: center;">CHARGES AND FEES</p> <p>CHARGE Represents the markup/down from the wholesaler's or dealer's price.</p> <p>FSCF Represents a pass through of Foreign Securities clearance fees incurred by Morgan Stanley Smith Barney LLC for this transaction.</p> <p>SUPPLEMENTAL TRANSACTION FEE Represents fee to offset additional expenses associated with processing certain transactions.</p> <p>PROCESSING FEE Represents processing charges for certain executed orders.</p> <p>CDSC Represents Contingent Deferred Sales Charge.</p> <p>DSC Represents Deferred Sales Charge.</p>	
<p style="text-align: center;">BACKUP WITHHOLDING</p> <p>Under Federal Income Tax Law, the customer is required to provide Morgan Stanley Smith Barney LLC with a certification of the customer's Social Security or Taxpayer Identification Number. In the absence of such certification, Morgan Stanley Smith Barney LLC is required to withhold taxes from the proceeds of sales at the current withholding rate.</p>	
<p style="text-align: center;">GROSS PROCEEDS</p> <p>If the transaction being confirmed is a sale or a redemption, this information will be furnished to the Internal Revenue Service.</p>	
<p style="text-align: center;">SECURITY MEASURES</p> <p>Your Morgan Stanley Smith Barney LLC trade confirmation features an embedded security element to safeguard its authenticity. It is a unique security mark -- a blue rectangle in heat-sensitive blue ink. When exposed to warmth, the blue rectangle will disappear, and then reappear.</p>	

Morgan Stanley

This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page

Exchange Code: 8
 Execution Code:7
 Your Account Number: **Redacted** -374
 Cash Account - Active Assets

VINCENT J INCOPERO TOD
 VINCENT A INCOPERO
 SUBJECT TO STA RULES
 P.O. BOX 146
 ELMHURST, IL 60126-0146

Your Financial Advisor
 DONALD METTER
 111 S PFINGSTEN RD, STE 200
 DEERFIELD, IL 60015
 (847) 480-3600

You Sold
 Trade Date 09/16/16 for Settlement on 09/21/16

Quantity	250,000	Price	107.246	Settlement	Amount
Description: PUERTO RICO COMWLTH HWY & TRANSN AUTH HWY REV REF-AA MATURES 07/01/2019 COUPON 5.50% FIXED COUPON PAYABLE SEMI-ANNUALLY ON JANUARY AND JULY 1st ISSUE DATE 04/29/2003 FIRST COUPON DATE 07/01/2003 YIELD TO MATURITY 2.770% REVENUE BOND INSURED BY NATL PUBLIC FINANCE GUARANTEE (FORM MBIA) THE CREDIT RATINGS PRINTED BELOW REFLECT THE RATINGS AT THE CLOSE-OF-BUSINESS ON 09/16/16 AND ARE SUBJECT TO CHANGE. S&P RATING: AA- MOODY'S RATING: A3 DOCUMENTS AND CONTINUING DISCLOSURES RELATING TO THIS SECURITY MAY ALSO BE AVAILABLE ON EMMA AT CUSIP 745181XK8. BOOK ENTRY ONLY				Principal	\$268,115.00
				Processing Fee	6.50
				Interest	3,055.56
				Net Amount	\$271,164.06
				Security No.	Redacted
Morgan Stanley Smith Barney LLC. Member SIPC. The transaction may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services.					

CONDITIONS AND DISCLOSURES

CODES, ABBREVIATIONS AND EXPLANATIONS

EXCHANGE WHERE EXECUTED

- 1 New York Stock Exchange
- 2 Pacific Stock Exchange
- 3 Philadelphia Stock Exchange
- 4 Chicago Stock Exchange
- 5 American Stock Exchange
- 6 Other Markets
- 7 Over the Counter
- 8 Morgan Stanley Smith Barney LLC as principal which may result in a profit to Morgan Stanley Smith Barney LLC.

EXECUTION CODE

- 1, 2, 3, 4, 5, 9, F, L, P, T, V OR W: As agent we have bought or sold for your account
- 6: As agent for another we have sold to you or bought from you
- 7, C, E, G, N, OR S: As principal we sold to you or bought from you for our own account
- 8 OR U: Prospectus/Official Statement
- A, B, C, X, Y, OR Z: Primary and Secondary Unit Trust or listed and OTC when issued Securities
- K: Precious Metals
- M, R: Mutual Funds

OTHER ABBREVIATIONS

- ELTR Estimated Long Term Return
CR Current Return
PV Par Value

FINAL PROSPECTUS/OFFERING DOCUMENTATION AVAILABLE

Indicates that these securities/instruments are being sold (i) pursuant to an SEC registration statement or where a prospectus is otherwise required, (ii) in the case of certain exempted securities or certificates of deposit (CDs), either where offering documentation is required or there is an agreement or policy to deliver offering documentation. For assistance obtaining a copy of the final prospectus/offering documentation relating to these securities, you may contact us at 800-584-6837.

CHARGES AND FEES

- CHARGE** Represents the markup/down from the wholesaler's or dealer's price.
- FSCF** Represents a pass through of Foreign Securities clearance fees incurred by Morgan Stanley Smith Barney LLC for this transaction.
- SUPPLEMENTAL TRANSACTION FEE** Represents fee to offset additional expenses associated with processing certain transactions.
- PROCESSING FEE** Represents processing charges for certain executed orders.
- CDSC** Represents Contingent Deferred Sales Charge.
- DSC** Represents Deferred Sales Charge.

BACKUP WITHHOLDING

Under Federal Income Tax Law, the customer is required to provide Morgan Stanley Smith Barney LLC with a certification of the customer's Social Security or Taxpayer Identification Number. In the absence of such certification, Morgan Stanley Smith Barney LLC is required to withhold taxes from the proceeds of sales at the current withholding rate.

GROSS PROCEEDS

If the transaction being confirmed is a sale or a redemption, this information will be furnished to the Internal Revenue Service.

SECURITY MEASURES

Your Morgan Stanley Smith Barney LLC trade confirmation features an embedded security element to safeguard its authenticity. It is a unique security mark -- a blue rectangle in heat-sensitive blue ink. When exposed to warmth, the blue rectangle will disappear, and then reappear.

CONDITIONS

IT IS AGREED THAT

All transactions are subject to the rules, regulations, requirements and customs of the exchange or market (and its clearing agency, if any) where executed, the regulations of the Federal Reserve Board and the Securities and Exchange Commission.

Payment for securities purchased must be received by us no later than the Settlement Date indicated on the reverse side hereof. Payments not received by Settlement Date may be subject to late payment fees.

Securities held in margin accounts or purchased but not yet paid for in cash accounts may be hypothecated by Morgan Stanley Smith Barney LLC under circumstances which will permit the commingling thereof with securities of other clients.

Securities sold "long" must be on deposit in your account(s) or delivered to us by Settlement Date.

Morgan Stanley Smith Barney LLC will furnish, upon written request, the date and time when the transaction took place, the name of the other party to the transaction and the source and amount of any other remuneration received or to be received by Morgan Stanley Smith Barney LLC in connection with the transaction.

Morgan Stanley Smith Barney LLC and/or its affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits will be furnished upon written request.

Debt securities may be redeemed in whole or in part before maturity, and such a redemption could affect any yield represented in this trade confirmation. Additional information is available upon request.

Credit rating(s), if any, contained on this trade confirmation were provided by an unaffiliated third party. In some instances, the credit rating shown is based on the issuer's credit ranking and not the credit rating of the specific security purchased or sold. For an explanation of credit ratings for bonds, please see www.morganstanley.com/wealth/investmentsolutions/creditratings.asp, or request a copy from your Financial Advisor.

Insurance trades are subject to carrier underwriting approval.

Any inquiries regarding this transaction should be made by using the telephone number provided on the reverse side.

This transaction is conclusive and binding if not objected to in writing within five days of receiving this trade confirmation.

All Good Till Cancelled (GTC) orders have an expiration date, which is displayed on the front of this notice. Until expiration, all open orders are considered good until cancelled by you or executed by us. When entering a substitute order or changing an existing order, the responsibility for canceling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered in the customer's account.

Municipal Advisor Rule; Disclosures for Municipal Entities and Obligated Persons: Morgan Stanley Smith Barney LLC is not acting as a municipal advisor to any municipal entity or obligated person within the meaning of Section 15B of the Securities Exchange Act (Municipal Advisor Rule). If you have a Brokerage Account, please note that: 1) we do not owe you a fiduciary duty pursuant to the Municipal Advisor Rule when we make statements or provide you with information regarding your Brokerage Account; 2) we may be acting for our own interests; and 3) before acting on any statements made or information provided by us, you should consult any and all advisors as you deem appropriate.

This agreement shall inure to the benefit of any successor or assigns of Morgan Stanley Smith Barney LLC.

Morgan Stanley

Morgan Stanley

This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page

Exchange Code: 8
Execution Code:7
Your Account Number: **Redacted** -374

Cash Account - Active Assets

VINCENT J INCOPERO TOD
VINCENT A INCOPERO
SUBJECT TO STA RULES
P.O. BOX 146
ELMHURST, IL 60126-0146

Your Financial Advisor
DONALD METTER
111 S PFINGSTEN RD, STE 200
DEERFIELD, IL 60015
(847) 480-3600

You Bought
Trade Date 06/04/14 for Settlement on 06/09/14

Quantity	250,000	Price	108.181	Settlement Amount
Description: PUERTO RICO COMWLTH HWY & TRANSN AUTH HWY REV REF-AA MATURES 07/01/2019 COUPON 5.50% FIXED COUPON PAYABLE SEMI-ANNUALLY ON JANUARY AND JULY 1st ISSUE DATE 04/29/2003 FIRST COUPON DATE 07/01/2003 YIELD TO MATURITY 3.711% REVENUE BOND INSURED BY NATL PUBLIC FINANCE GUARANTEE (FORM MBIA) THE CREDIT RATINGS PRINTED BELOW REFLECT THE RATINGS AT THE CLOSE-OF-BUSINESS ON 06/04/14 AND ARE SUBJECT TO CHANGE. S&P RATING: AA- MOODY'S RATING: A3 FOR ADDITIONAL INFORMATION RELATED TO THIS SECURITY, INCLUDING MUNICIPAL MATERIAL EVENT AND FINANCIAL DISCLOSURES AND MARKET DATA, PLEASE GO TO www.emma.msrb.org DOCUMENTS AND CONTINUING DISCLOSURES RELATING TO THIS SECURITY MAY ALSO BE AVAILABLE ON EMMA AT CUSIP 745181XK8. BOOK ENTRY ONLY				Principal \$270,452.50 Processing Fee 6.50 Interest 6,034.72 Net Amount \$276,493.72
Morgan Stanley Smith Barney LLC. Member SIPC. The transaction may have been executed with Morgan Stanley & Co. LLC, an affiliate, which may receive compensation for any such services.				Security No. Redacted

CONDITIONS AND DISCLOSURES

CODES, ABBREVIATIONS AND EXPLANATIONS		CONDITIONS
EXCHANGE WHERE EXECUTED 1 New York Stock Exchange 2 Pacific Stock Exchange 3 Philadelphia Stock Exchange 4 Chicago Stock Exchange 5 American Stock Exchange 6 Other Markets 7 Over the Counter 8 Morgan Stanley Smith Barney LLC as principal which may result in a profit to Morgan Stanley Smith Barney LLC.		IT IS AGREED THAT All transactions are subject to the rules, regulations, requirements and customs of the exchange or market (and its clearing agency, if any) where executed, the regulations of the Federal Reserve Board and the Securities and Exchange Commission. Payment for securities purchased must be received by us no later than the Settlement Date indicated on the reverse side hereof. Payments not received by Settlement Date may be subject to late payment fees. Securities held in margin accounts or purchased but not yet paid for in cash accounts may be hypothecated by Morgan Stanley Smith Barney LLC under circumstances which will permit the commingling thereof with securities of other clients. Securities sold "long" must be on deposit in your account(s) or delivered to us by Settlement Date. Morgan Stanley Smith Barney LLC will furnish, upon written request, the date and time when the transaction took place, the name of the other party to the transaction and the source and amount of any other remuneration received or to be received by Morgan Stanley Smith Barney LLC in connection with the transaction. Morgan Stanley Smith Barney LLC and/or its affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits will be furnished upon written request. Debt securities may be redeemed in whole or in part before maturity, and such a redemption could affect any yield represented in this trade confirmation. Additional information is available upon request. Credit rating(s), if any, contained on this trade confirmation were provided by an unaffiliated third party. In some instances, the credit rating shown is based on the issuer's credit ranking and not the credit rating of the specific security purchased or sold. For an explanation of credit ratings for bonds, please see www.morganstanley.com/wealth/investmentsolutions/creditratings.asp , or request a copy from your Financial Advisor. Insurance trades are subject to carrier underwriting approval. Any inquiries regarding this transaction should be made by using the telephone number provided on the reverse side. This transaction is conclusive and binding if not objected to in writing within five days of receiving this trade confirmation. All Good Till Cancelled (GTC) orders have an expiration date, which is displayed on the front of this notice. Until expiration, all open orders are considered good until cancelled by you or executed by us. When entering a substitute order or changing an existing order, the responsibility for canceling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered in the customer's account. NOTICE TO MUNICIPAL ENTITIES: unless we have agreed otherwise in writing, we are not acting as a municipal advisor under the Dodd-Frank Act. This agreement shall inure to the benefit of any successor or assigns of Morgan Stanley Smith Barney LLC.
EXECUTION CODE 1, 2, 3, 4, 5, 9, F, L, P, T, V OR W: As agent we have bought or sold for your account 6: As agent for another we have sold to you or bought from you 7, C, E, G, N, OR S: As principal we sold to you or bought from you for our own account 8 OR U: Prospectus/Official Statement A, B, C, X, Y, OR Z: Primary and Secondary Unit Trust or listed and OTC when issued Securities K: Precious Metals M, R: Mutual Funds		
OTHER ABBREVIATIONS ELTR Estimated Long Term Return CR Current Return PV Par Value		
FINAL PROSPECTUS/OFFERING DOCUMENTATION AVAILABLE Indicates that these securities/instruments are being sold (i) pursuant to an SEC registration statement or where a prospectus is otherwise required, (ii) in the case of certain exempted securities or certificates of deposit (CDs), either where offering documentation is required or there is an agreement or policy to deliver offering documentation. For assistance obtaining a copy of the final prospectus/offering documentation relating to these securities, you may contact us at 800-584-6837.		
CHARGES AND FEES		
CHARGE	Represents the markup/down from the wholesaler's or dealer's price.	
FSCF	Represents a pass through of Foreign Securities clearance fees incurred by Morgan Stanley Smith Barney LLC for this transaction.	
SUPPLEMENTAL TRANSACTION FEE	Represents fee to offset additional expenses associated with processing certain transactions.	
PROCESSING FEE	Represents processing charges for certain executed orders.	
CDSC	Represents Contingent Deferred Sales Charge.	
DSC	Represents Deferred Sales Charge.	
BACKUP WITHHOLDING Under Federal Income Tax Law, the customer is required to provide Morgan Stanley Smith Barney LLC with a certification of the customer's Social Security or Taxpayer Identification Number. In the absence of such certification, Morgan Stanley Smith Barney LLC is required to withhold taxes from the proceeds of sales at the current withholding rate.		
GROSS PROCEEDS If the transaction being confirmed is a sale or a redemption, this information will be furnished to the Internal Revenue Service.		
SECURITY MEASURES Your Morgan Stanley Smith Barney LLC trade confirmation features an embedded security element to safeguard its authenticity. It is a unique security mark -- a blue rectangle in heat-sensitive blue ink. When exposed to warmth, the blue rectangle will disappear, and then reappear.		

Morgan Stanley

VJ-Incopco
POB 146
Elmhurst, Ill
60124

CAROL STREAM, ILL 60124

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